ISO 9001:2015 Transition Policy – Update

Background

The ISO 9001:2015 standard has been released in 2015 with a new structure, new numbering scheme, new requirements and removal of some requirements.

Purpose

This policy describes the requirements for currently certified organisations for the transition from ISO 9001:2008 to ISO 9001:2015.

Main Changes

The main changes in the new version of ISO 9001:2015 are:

- Utilizing simplified language to aid in the understanding of ISO 9001:2015 and establish consistent interpretations of the standard's requirements;
- the standard is based on a new high-level structure which standardizes sub-clause titles, core text, common terms and core definitions to enhance compatibility and alignment with other ISO management system standards;
- less emphasis on documents;
- Increased emphasis on organisational context; and
- An explicit requirement of risk-based thinking to support and improve the understanding and application of the process approach.

What do you need to do?

To maintain your Certification to the ISO 9001 standard, you will be required to comply with ISO 9001:2015 by 15 August 2018. As part of this process, we recommend the following:

- Identify organisational gaps which need to be addressed to meet new requirements.
- Develop an implementation plan.
- Provide appropriate training and awareness for all parties that have an impact on the effectiveness of the organisation.
- Update the existing quality management system (QMS) to meet the revised requirements and provide verification of effectiveness.
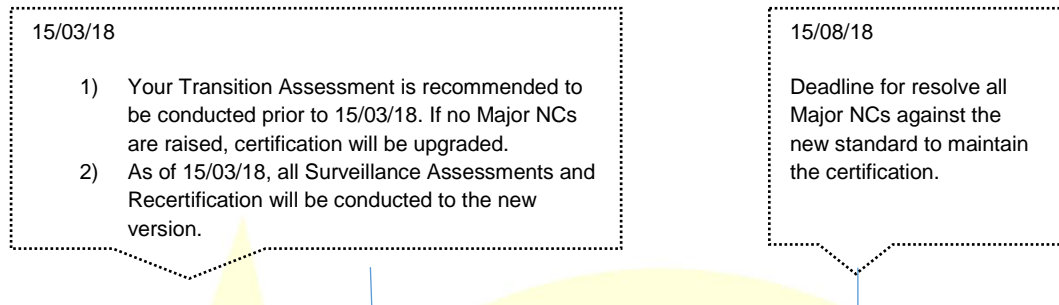- Where applicable, liaise with your Client Manager for transition arrangements.

What will DLCS do?

DLCS will implement the following 'upgrade' plan:

1. Your Client Manager will liaise with you to determine when you plan to commence your 'transition'. We will then provide this information to your assigned Lead Assessor.
2. Upon agreement with you, your Lead Assessor will spend additional time during a routine Surveillance or Recertification Assessment to conduct the Transition Assessment. This is to assess the conformance of your management system with the new requirements. The Transition Assessment is recommended to be conducted prior to 15 March 2018.
3. Conducting the Transition Assessment prior to 15 March 2018 will provide you with sufficient time to close out Major NCs, if any. Your certification may lapse, if a Major NC remains open after 15 August 2018.
4. The decision on conformance to ISO 9001:2015 will be made by the Certification Accreditation Manager. To facilitate this, all Non-Conformances raised shall be closed-out before 15 August 2018. This will provide sufficient time for the decision making process.
5. Additional visits to assess solely against ISO 9001:2015 are not normally required. The implementation should be verified during normal scheduled Surveillance or Recertification Assessments or remotely as appropriate. However, additional assessments may be necessary for an organisation requesting certification within an

accelerated time frame or where the organisation's lack of progress will not ensure that the transition deadline will be met.

6. As of 15 March 2018, all Surveillance and Recertification assessments will be conducted to ISO 9001:2015. If your system doesn't fully conform to the new requirements, you can still maintain your ISO 9001:2008 until the certificate expiry date.

7. Any certificate for ISO 9001:2008 issued after the publication of the new standard is valid to 15 September 2018.

15/03/18

1) Your Transition Assessment is recommended to be conducted prior to 15/03/18. If no Major NCs are raised, certification will be upgraded.

2) As of 15/03/18, all Surveillance Assessments and Recertification will be conducted to the new version.

15/08/18

Deadline for resolve all Major NCs against the new standard to maintain the certification.

FAQ – Frequently Asked Questions

Where can I buy a copy of ISO 9001:2015?	A copy of ISO 9001:2015 can be purchased now from several sources including SAI Global.
How much time and resource do I need to undertake the transition?	The degree of change necessary will be dependent upon the maturity and effectiveness of your management system including organisational structure and practices, therefore an impact analysis / gap assessment is strongly recommended in order to identify the required resource and time implications.
Do you recommend certain resources concerned with ISO 9001:2015?	You are encouraged to download *ISO 9001:2015 vs ISO 9001:2008* from DLCS website, which will give you an insight about the magnitude of change to better plan your transition process.

You are encouraged to download ISO 9001:2015 Evidence Guide from DLCS website, which provides a guide about the interpretation and acceptable evidence that demonstrates conformance with the new requirements.

Both documents can be downloaded from DLCS Knowledge Library

https://www.dlcsi.com.au/knowledge-library

Also, we suggest that you explore ISO/TC 176/SC2 committee website, which provides a range of resources.

https://committee.iso.org/sites/tc176sc2/home/projects/published/iso-9001-2015.html#ISO_9001_Transition

What is the difference between ISO 9001:2015 and AS/NZS ISO 9001:2016?	There is no difference in the content between the two standards. AS/NZS ISO 9001:2016 is the Australian version of ISO 9001:2015. Unfortunately, because Standards Australia didn't approve the standard until February

2016 it has been called AS/NZS ISO 9001:2016 which has caused some confusion.

Contact us

Should you have any questions about your transition plan, please don't hesitate to contact DLCS International on 03 9863 7837